

# KUNDENERSTINFORMATION

STAND 28.08.2015\_1a

(Original verbleibt beim Vermittler/Berater)

|                     |                    |
|---------------------|--------------------|
| Firma               | Vermittler/Berater |
| Strasse, Hausnummer | PLZ, Ort           |
| Telefon             | Telefax            |
| E-Mail              | Funktion           |

Der **Finanzanlagenvermittler/berater** hat eine Erlaubnis nach § 34 f Absatz 1 Satz 1  Nr. 1\*  Nr. 2\*\*  Nr. 3\*\*\* der Gewerbeordnung und ist mit der Registrierungsnummer  bei der für die Erlaubnis nach § 34 f Absatz 1 der Gewerbeordnung zuständigen, folgenden Behörde inklusive vollständiger Adresse eingetragen:

\* Investmentfonds \*\* Geschlossene Fonds (KG-Form) \*\*\* Sonstige Vermögensanlagen (Genossenschaftsanteile, Genussrechte, etc.)

**Registerstelle des Vermittler-Registers:** Deutscher Industrie- und Handelskammertag e.V., Breite Straße 29, 10178 Berlin  
Auskunft über Tel. 0180 6 00 58 50 (Festnetzpreis 0,20/Anruf; Mobilfunkpreise maximal 0,60/Anruf) oder [www.vermittlerregister.org](http://www.vermittlerregister.org)

**Ombudsstelle des BVI, Bundesverband Investment und Asset Management e.V.:** Unter den Linden 42, 10117 Berlin  
Telefon: +49 30 6 44 90 46-0, [info@ombudsstelle-investmentfonds.de](mailto:info@ombudsstelle-investmentfonds.de), [www.ombudsstelle-investmentfonds.de](http://www.ombudsstelle-investmentfonds.de)

**Ombudsstelle Geschlossene Fonds e.V.:** Postfach 64 02 22, 10048 Berlin,  
Telefon: 030 257 616 90, [info@ombudsstelle-gfonds.de](mailto:info@ombudsstelle-gfonds.de)

Die von uns angebotenen Emittenten/Versicherungsgesellschaften und Anbieter finden Sie in der Anlage der Kundeninformation.  
**Die Information wurde ausgehändigt an:**

|  |          |
|--|----------|
| Name, Vorname 1. Depotinhaber (Kunde/n)/1. gesetzlicher Vertreter/1. Geschäftsführer |          |
| Strasse, Hausnummer  | PLZ, Ort |
| Name, Vorname 2. Depotinhaber (Kunde/n)/2. gesetzlicher Vertreter/2. Geschäftsführer |          |
| Strasse, Hausnummer  | PLZ, Ort |

Ihr Berater wird für seine Anlageberatung und -vermittlung seitens der Depotbanken und Investmentgesellschaften vergütet. Im Rahmen dieser Erstinformation stimmen Sie zu, dass Ihr Berater die Vergütungen und Zuwendungen (inkl. Sach- und Dienstleistungen) seitens Dritter vereinnahmen darf.

Freitextfeld für weitere Bemerkungen:

|          |                   |                                 |                            |
|----------|-------------------|---------------------------------|----------------------------|
| <b>X</b> | Ort, Datum        | Unterschrift des 1. Kunden      | Unterschrift des 2. Kunden |
| <b>X</b> | Ort, Datum        | Unterschrift Vermittler/Berater |                            |
|          | Sicherheitsnummer | Datum/Uhrzeit                   |                            |

# AUFLISTUNG ZU DEN VON UNS ANGEBOTENEN EMITTENTEN/VERSICHERUNGS-GESELLSCHAFTEN UND ANBIETERN

## Investmentfonds

|  |  |  |
|--|--|--|
| Aachener Grundvermögen Kapitalverwaltungsgesellschaft mbH  | Abalone Asset Management Ltd                                     | Aberdeen Asset Management Deutschland AG             |
| Aberdeen Fund Managers Limited                             | Aberdeen Global  | Aberdeen Global Services S.A.                        |
| ABN AMRO Investment Solutions                              | Abrias   | Absolute Insight Funds p.l.c.                        |
| Accuro Fund Solutions AG                                   | ACOLIN Fund Management S.A.                                      | Ahead Wealth Solutions AG                            |
| Alceda Fund Management S.A.                                | Algebris UCITS Funds plc   | ALGER SICAV  |
| Alken Luxembourg S.A.                                      | AllianceBernstein (Luxembourg) S.A.                              | AllianceBernstein (Luxembourg) S.à r.l.              |
| Allianz Global Investors Europe GmbH                       | Allianz Global Investors GmbH                                    | Allianz Global Investors Ireland Ltd.                |
| Allianz Global Investors Luxembourg S.A.                   | Allianz Invest Kapitalanlagegesellschaft mbH                     | Altana UCITS Funds plc                               |
| ALTE LEIPZIGER Trust Investment-Gesellschaft mbH           | AMADEUS CAPITAL VISION PLC                                       | Amega Investment GmbH                                |
| Amundi   | Amundi Asset Management  | Amundi Austria GmbH                                  |
| Amundi Investment Solutions                                | Amundi Luxembourg S.A.   | Anaxis Asset Management S.A.S.                       |
| AS Trigon Funds  | Aspect UCITS Funds plc   | Assenagon Asset Management S.A.                      |
| Atlantis Investment Management (Ireland) Limited           | AVANA Investmentaktiengesellschaft mit Teilgesellschaftsvermögen | Aviva Funds Services S.A.                            |
| Aviva Investors Luxembourg                                 | AXA Funds Management S.A.  | AXA Investment Managers Deutschland GmbH             |
| AXA Investment Managers Paris                              | AXA Investment Managers UK Limited                               | AXA Rosenberg Equity Alpha Trust                     |
| AXXION S.A.  | Balfidor Fondsleitung AG   | Baloise Fund Invest (Lux)                            |
| Bank Austria Real Invest Immobilien-Kapitalanlage GmbH     | Bankhaus Schelhammer & Schattera Kapitalanlageges. mbH           | Banque Degroof Petercam Luxembourg SA                |
| Banque et Caisse d'Epargne de l'Etat, Luxembourg           | Bantleon AG  | Bantleon Invest S.A.                                 |
| Barclays Investment Funds (Luxembourg) SICAV               | Baring Fund Managers Limited                                     | Baring International Fund Managers (Ireland) Limited |
| Barometer Management (Ireland) Limited                     | BAWAG P.S.K. INVEST GmbH   | BayernInvest Kapitalanlagegesellschaft mbH           |
| BayernInvest Kapitalverwaltungsgesellschaft mbH            | BayernInvest Luxembourg S.A.                                     | Berenberg Lux Invest S.A.                            |
| BFC Fund Management AG                                     | bfw fondsleitung ag  | BI SICAV (BankInvest)                                |
| BlackRock Asset Management Deutschland AG                  | BlackRock Asset Management Ireland Limited                       | BlackRock Asset Management Schweiz AG                |
| BlackRock Fund Management Company (Ireland) Limited        | BlackRock Investment Management (Dublin) Limited                 | BlackRock (Luxembourg) S.A.                          |
| BlackRock (Singapore) Limited                              | BLI - Banque de Luxembourg Investments S.A.                      | BLUE DANUBE FUND SICAV                               |
| BlueBay Funds Management Company S.A.                      | BlueOrchard Asset Management (Luxembourg) S.A.                   | BMO Investments II (Ireland) plc                     |
| BNP Paribas Asset Management SAS                           | BNP Paribas Global Liquidity Funds plc                           | BNP Paribas Investment Partners Luxembourg           |
| BNP Paribas Real Estate Investment Management Germany GmbH | BNY Mellon Fund Management (Luxembourg) S.A.                     | BNY Mellon Fund Services (Ireland) Limited           |
| BNY Mellon Global Management Limited                       | BNY Mellon Investment Funds ICVC                                 | BNY Mellon Service Kapitalanlage-Gesellschaft mbH    |
| BRANDES INVESTMENT FUNDS PLC                               | Brown Advisory Funds plc   | BSI Fund Management S.A.                             |
| CACEIS (Switzerland) SA                                    | CAIAC Fund Management AG   | Calamos Global Funds plc                             |
| CALLANDER MANAGERS S.A.                                    | Candriam Belgium   | Candriam France                                      |
| Candriam Luxembourg  | Capita Financial Managers (Ireland) Limited                      | Capital International Management Company Sàrl        |
| CAPITALatWORK FOYER UMBRELLA                               | CARMIGNAC GESTION  | CARMIGNAC GESTION LUXEMBOURG                         |
| Carne Global Fund Managers (Ireland) Limited               | Carne Global Fund Managers (Luxembourg) S.A.                     | Carnegie Fund Management Company S.A.                |
| Casa4Funds SA  | Caso Asset Management S.A.                                       | Castell Concept SICAV                                |
| Catella Real Estate AG                                     | CAZENOVE INTERNATIONAL FUND PLC                                  | CBL Asset Management IPAS                            |
| CCR Asset Management                                       | Celsius Funds II plc   | Celsius Funds plc                                    |
| Celsius Global Funds SICAV plc                             | Celsius Investment Funds SICAV                                   | Charlemagne Capital (UK) Limited                     |
| China Southern Dragon Dynamic Fund                         | Citadele Asset Management IPAS                                   | Clariden Leu Fund Management (Luxembourg) S.A.       |
| Classic Fund Management AG                                 | CM Investment Solutions Limited                                  | CM-CIC Asset Management                              |
| CMI Asset Management (Luxembourg) S.A.                     | Coeli Asset Management S.A.                                      | Comgest  |
| Comgest Asset Management International Limited             | Comgest S.A.   | Commerz Funds Solutions S.A.                         |
| Commerz Real Investmentgesellschaft mbH                    | Concept Fund Solutions plc                                       | Concord Group International Funds p.l.c., The        |
| Conventum Asset Management                                 | Convictions AM   | C&P Funds SICAV                                      |
| CPR Asset Management                                       | C-QUADRAT Kapitalanlage AG                                       | Credit Suisse Asset Management                       |
| Credit Suisse Fund Management S.A.                         | Credit Suisse Funds AG   | Credit Suisse Funds (Liechtenstein) AG               |
| Credit Suisse Microfinance Fund Management Company         | Crestbridge Management Company S.A.                              | Crown Alternative UCITS plc                          |
| Crystal Fund Management AG                                 | Cullen Funds plc   | Custom Markets plc                                   |
| Danske Invest Management Company S.A.                      | DAVIS FUNDS SICAV  | DB Platinum  |
| DB Platinum III  | DB Platinum IV   | DB Platinum V  |
| db x-trackers  | db x-trackers II   | Degroof Gestion Institutionnelle - Luxembourg        |
| Degroof Petercam Asset Services SA                         | Deka Immobilien Investment GmbH                                  | Deka International S.A.                              |
| Deka Investment GmbH                                       | DELTA LLOYD L  | Deutsche Asset & Wealth Management Investment GmbH   |
| Deutsche Asset & Wealth Management Investment S.A.         | Deutsche Asset Management Investment GmbH                        | Deutsche Asset Management S.A.                       |
| Deutsche Global Liquidity Series p.l.c.                    | Dimensional Fund Advisors Ltd.                                   | Dimensional Funds II plc                             |
| Dimensional Funds plc                                      | DJE Investment S.A.  | DNB Asset Management S.A.                            |
| DNCA Finance   | DNCA Finance Luxembourg  | Dodge & Cox Worldwide Funds plc                      |
| Duff & Phelps (Luxembourg) Management Company S.à r.l.     | DWS Investment S.A.  | East Capital Asset Management AB                     |
| East Capital Asset Management S.A.                         | East Capital (Lux)   | Eastspring Investments (Luxembourg) S.A.             |

## AUFLISTUNG ZU DEN VON UNS ANGEBOTENEN EMITTENTEN/VERSICHERUNGS-GESELLSCHAFTEN UND ANBIETERN

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| EasyETF (FR)   | EasyETF (LU)  | Eaton Vance Advisers (Ireland) Limited                     |
| ECM Credit Fund SICAV  | Edgewood L Select   | Edmond de Rothschild Asset Management (France)             |
| Edmond de Rothschild Asset Management (Luxembourg)                               | Edmond de Rothschild Fund SICAV                                 | E.I. Sturdza Funds plc                                     |
| EII Real Estate Securities Advisors Limited                                      | Ennismore Fund Management Ltd                                   | Enovara plc  |
| Erste Asset Management GmbH  | ERSTE Immobilien Kapitalanlagegesellschaft m.b.H.               | ERSTE-SPARINVEST Kapitalanlagegesellschaft m.b.H.          |
| Estlander & Partners Umbrella Fund Ltd.  | ETHENEA Independent Investors S.A.                              | EUROCASH-FUND SICAV  |
| EUROPEAN SICAV ALLIANCE  | Falcon Fund Management (Luxembourg)                             | F&C Alternative Strategies Limited                         |
| F&C Management Limited   | F&C Property Growth & Income Fund Limited                       | FCM Funds PLC  |
| Federated International Funds plc c/o BNY Mellon Fund Services (Ireland) Limited | Federated International Management Limited                      | Fenja Fund Multi Strategy Limited                          |
| Feri Trust (Luxembourg) S.A.   | Fidelity Institutional Liquidity Fund plc                       | Fidelity Investment Funds                                  |
| FIL Investment Management GmbH   | FIL Investment Management (Luxembourg) S.A.                     | Finaltis S.A.  |
| FINANCIERE DE L ECHIQUIER  | Finter Fund Management Company S.A.                             | First Private Investment Management KAG mbH                |
| First State Investments ICVC   | FISCH FUND SERVICES AG  | Flaskamp Invest S.A.                                       |
| FLEXIFUND SICAV  | Flossbach von Storch Invest S.A.                                | FRANKFURT-TRUST Invest Luxemburg AG                        |
| FRANKFURT-TRUST Investment-Gesellschaft mbH                                      | Franklin Templeton  | Franklin Templeton International Services S.A.             |
| Fred Alger Management, Inc.  | FTC Futures Fund PCC Limited                                    | FTC FUTURES FUND SICAV                                     |
| Fulcrum Asset Management LLP   | FundLogic Alternatives p.l.c.                                   | FundLogic Global Solutions p.l.c.                          |
| FundLogic SAS  | FundLogic Structured Products SICAV                             | FundPartner Solutions (Europe) S.A.                        |
| FundRock Management Company S.A.   | Fundsmith LLP   | GAM Investment Management (Switzerland) AG                 |
| GAM (Luxembourg) S.A.  | GAM Star Fund p.l.c.  | GAMAX Management AG  |
| Generali Fund Management S.A.  | Generali Investments Deutschland Kapitalanlagegesellschaft mbH  | Generali Investments Europe S.p.a.                         |
| Generali Investments Luxembourg S.A.   | GLG Partners Asset Management Limited                           | Global Evolution Manco                                     |
| Global Fund Selection SICAV  | GO ETF Management Limited                                       | Goldman Sachs Asset Management Global Services Limited     |
| Goldman Sachs Funds  | GreenEffects Investment plc.                                    | GS&P Kapitalanlagegesellschaft S. A.                       |
| Gutmann Kapitalanlageaktiengesellschaft  | Gutzwiller Fonds Management AG                                  | Hamon Ireland Limited                                      |
| HANSAINVEST  | HANSAINVEST Hanseatische Investment-GmbH                        | HANSAINVEST LUX S.A.                                       |
| Hauck & Aufhäuser Investmentgesellschaft S.A.                                    | Helaba Invest Kapitalanlagegesellschaft mbH                     | Henderson Fund Management (Luxembourg) S.A.                |
| Henderson Gartmore Fund  | Henderson Investment Funds Limited                              | Heptagon Fund plc  |
| Hermes Investment Funds Public Limited Company                                   | HollisWealth S.A.   | HPS FUND   |
| HSBC ETFs PLC  | HSBC Global Asset Management (France)                           | HSBC Global Investment Funds SICAV                         |
| HSBC Global Liquidity Funds plc  | HSBC Investment Funds (Luxembourg) S.A.                         | HSBC Trinkaus Investment Managers S.A.                     |
| HSBC UCITS AdvantEdge plc  | HSH Invest S.A.   | Humboldt Multi Invest C SICAV                              |
| H2O AM LLP   | IAFA S.A.   | IFAG Institutionelle Fondsleitung AG                       |
| IFM Independent Fund Management AG   | IFOS Internationale Fonds Service AG                            | Ignis Funds SICAV  |
| Ignis Global Funds SICAV   | Ignis International Funds plc                                   | Immo Kapitalanlage AG                                      |
| ING Fund Management B.V.   | ING Investment Management Luxembourg S.A.                       | INKA Internationale Kapitalanlagegesellschaft mbH          |
| InRIS UCITS plc  | Insight Global Funds II p.l.c.                                  | International Fund Management S.A.                         |
| INTER-PORTFOLIO Verwaltungsgesellschaft S.A.                                     | IntReal International Real Estate Kapitalanlagegesellschaft mbH | Invesco Asset Management S.A.                              |
| Invesco Global Asset Management DAC  | Invesco Global Asset Management Limited                         | Invesco Kapitalanlagegesellschaft mbH                      |
| Invesco Management S.A.  | Invesco PowerShares Capital Management Ireland Limited          | Investec Asset Management                                  |
| Investec Asset Management Luxembourg S.A.  | Investec Funds  | IPConcept (Luxemburg) S.A.                                 |
| iShares Public Limited Company   | iShares Trust   | iShares V Public Limited Company                           |
| IT Funds SICAV   | IVI UMBRELLA FUND PLC   | J O Hambro Capital Management UK Umbrella Fund             |
| J O Hambro Capital Management Umbrella Fund plc                                  | J. Safra Sarasin Fund Management (Luxembourg) S.A.              | J. Safra Sarasin Investmentfonds AG                        |
| JABCAP (LUX)   | Janus Capital Funds PLC   | J.Chahine Capital  |
| Jefferies Umbrella Fund  | JF Funds Limited  | J.P. Morgan Asset Management                               |
| J.P. Morgan Structured Fund Management   | J.P. Morgan Structured Funds plc                                | JULIUS MEINL Investment GmbH                               |
| Jupiter Global Fund, The   | Jupiter Unit Trust Managers Limited                             | Jyske Invest Fund Management A/S                           |
| KAMES CAPITAL  | Kames Capital Investment Company (Ireland) Plc                  | KanAm Grund Kapitalverwaltungsgesellschaft mbH             |
| KAS Investment Servicing GmbH  | KBC - ACCESS FUND SICAV   | KBC Asset Management NV                                    |
| KBC Asset Management S.A.  | KBC Equity Fund   | KBC Renta  |
| KBM-INFOND   | KEPLER-FONDS Kapitalanlagegesellschaft mbH                      | Kinetic Partners (Luxembourg) Management Company S. á r.l. |
| Kleinwort Benson Investors Dublin Limited  | Krediettrust Luxembourg S.A.                                    | LA FINANCIERE DE L ECHIQUIER                               |
| LA FRANCAISE AM INTERNATIONAL  | La Francaise Asset Management                                   | La Francaise Investment Solutions                          |
| Laffitte Capital Management SAS  | Lantern Structured Asset Management Limited                     | Lazard Asset Management (Deutschland) GmbH                 |
| Lazard Freres Gestion SAS  | Lazard Fund Managers (Ireland) Limited                          | LBB-INVEST   |
| LBBW Asset Management Investmentgesellschaft mbH                                 | LB(Swiss) Investment AG   | Legg Mason Global Funds PLC                                |
| Legg Mason Global Solutions plc  | Legg Mason Institutional Funds plc                              | Legg Mason Investments (Luxembourg) S.A.                   |
| Lemanik Asset Management S.A.  | Lemanik Asset Management S.A., Dublin Branch                    | LGT Capital Partners (FL) AG                               |
| LGT Fondsleitung AG  | LGT Fund Management (Lux) S.A.                                  | Living Planet Fund Management Company S.A.                 |
| LKB Expert Fondsleitung AG   | LLB Fondsleitung AG   | LLB Fund Services Aktiengesellschaft                       |
| Lloyd George Investment Company plc  | Lombard Odier Asset Management (Switzerland) SA                 | Lombard Odier Funds  |
| Lombard Odier Funds (Europe) S.A.  | Long Term Investment Fund (SIA)                                 | Lord Abbett Passport Portfolios plc                        |
| Löwenfonds AG  | LOYS Investment S.A.  | LRI Invest S.A.  |

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| LUKB Expert Fondsleitung AG                               | Lupus alpha Investment GmbH                        | Lupus alpha Investment S.A.                               |
| Lupus alpha Kapitalanlagegesellschaft mbH                 | Luxcellence Management Company                     | Lyxor Asset Management Luxembourg S.A.                    |
| Lyxor Asset Management S.A.S.                             | Lyxor International Asset Management               | Lyxor International Asset Management S.A.S.               |
| Lyxor Newcits IRL plc                                     | Macquarie Collective Funds plc                     | Magna Umbrella Fund plc                                   |
| MainFirst Affiliated Fund Managers S.A.                   | MainFirst SICAV                                    | Man AHL Diversified plc                                   |
| Man Asset Management (Ireland) Limited                    | Man GLG Multi-Strategy Fund SICAV                  | Man Investments (Luxembourg) S.A.                         |
| Man Investments Netherlands B.V.                          | Man RMF Investments SICAV                          | Mandarine Gestion SA                                      |
| Market Vectors ETF Trust                                  | Marshall Wace UCITS Funds PLC                      | Martin Currie Global Funds SICAV                          |
| MASTERINVEST Kapitalanlage GmbH                           | Matthews Asia Funds                                | MC Square S.A.  |
| MDO Management Company S.A.                               | MEAG Luxembourg S.à r.l.                           | MEAG MUNICH ERGO Kapitalanlagegesellschaft mbH            |
| MEDIOLANUM INTERNATIONAL FUNDS LIMITED                    | Melchior Investment Funds                          | Melchior Selected Trust                                   |
| Mercer Global Investments Management Limited              | Mercurion Asia Fund                                | Meriten Investment Management GmbH                        |
| Merrill Lynch Invest SAS                                  | Merrill Lynch Investment Solutions                 | Métropole Gestion   |
| METROPOLE Gestion International SICAV                     | Metzler Asset Management GmbH                      | Metzler Investment GmbH                                   |
| Metzler Ireland Limited                                   | MFS Investment Management Company (Lux) S.à r.l.   | MFS MERIDIAN FUNDS, SICAV                                 |
| M&G Investment Funds                                      | M&G Securities Limited                             | Mi-Fonds (Lux)  |
| Minerva Investments AG                                    | Mirabaud Asset Management (Europe) S.A.            | MK Luxinvest S.A.   |
| Monega Kapitalanlagegesellschaft mbH                      | Montanaro European Smaller Companies plc           | MontLake UCITS Platform plc                               |
| Morgan Stanley Investment Funds                           | Morgan Stanley Investment Management (ACD) Limited | Morgan Stanley Real Estate Investment GmbH                |
| Mori Capital Management Limited                           | MultiConcept Fund Management S.A.                  | MUNICH INVEST   |
| Muzinich & Co. (Ireland) Limited                          | Natixis Asset Management                           | Natixis Global Associates                                 |
| Natixis International Funds (Dublin) I plc                | Nemours Capital Management                         | Neptune Investment Management Limited                     |
| NESTOR Investment Management S.A.                         | Neuberger Berman Investment Funds plc              | New Capital Fund Management Limited                       |
| NGAM S.A.   | NN Investment Partners B.V.                        | NN Investment Partners Luxembourg S.A.                    |
| Nomura Asset Management Deutschland KAG mbH               | Nomura Funds Ireland plc                           | Noramco Asset Management S.A.                             |
| Nordea Investment Funds S.A.                              | NORD/LB Asset Management AG                        | Northern Trust Luxembourg Management Company              |
| OAKS Emerging Umbrella Fund plc                           | Oddo Asset Management                              | Oddo Meriten Asset Management GmbH                        |
| Oddo Meriten Asset Management SA                          | Odey Investment Funds plc                          | Odey Investments plc                                      |
| OFI ASSET MANAGEMENT                                      | ÖkoWorld Lux S.A.                                  | Old Mutual Dublin Funds plc                               |
| Old Mutual Global Investors Series II ICAV                | Old Mutual Global Investors Series plc             | Old Mutual Investment Management Limited                  |
| Oppenheim Asset Management Services S.à r.l.              | Oppenheim KAG                                      | Optinova Investmentaktiengesellschaft mit TGV             |
| Orsay Asset Management SNC                                | Oyster Asset Management S.A.                       | Paladin Asset Management InvAG m.v.K TGV                  |
| Pareto Forvaltning AS                                     | PARGESFONDS  | Partners Group Global Value SICAV                         |
| Partners Group Global Value SICAV                         | Performa Fund SICAV                                | PETERCAM INSTITUTIONAL ASSET MANAGEMENT (Luxembourg) S.A. |
| PETERCAM (LUXEMBOURG) S.A.                                | Petercam Management Services S.A.                  | Pictet Asset Management (Europe) S.A.                     |
| Pictet Funds (Europe) S.A.                                | Pictet Funds S.A.                                  | PIMCO Funds: Global Investors Series plc                  |
| PIMCO Global Advisors (Ireland) Limited                   | PineBridge Investments Ireland Limited             | Pioneer Asset Management S.A.                             |
| Pioneer Investments Austria GmbH                          | Pioneer Investments Kapitalanlagegesellschaft mbH  | PMG Fonds Management AG                                   |
| Polar Capital Funds plc                                   | Portfolio Selection SICAV                          | Prima Management AG                                       |
| Principal Global Investors Funds                          | Principal Global Investors (Ireland) Limited       | Putnam Investments  |
| PXP Vietnam Emerging Equity Fund Limited                  | Quint:Essence Capital S.A.                         | Raiffeisen Immobilien Kapitalanlage-Gesellschaft m.b.H.   |
| Raiffeisen Kapitalanlage-Gesellschaft mbH                 | Raiffeisen Salzburg Invest Kapitalanlage GmbH      | RAM Active Investments (Luxembourg) S.A.                  |
| RAM Active Investments S.A.                               | RBS Asset Management (Dublin) Limited              | RBS (Luxembourg) S.A.                                     |
| Renaissance Asset Managers (Luxembourg) S.A.              | Renasset Select Funds plc                          | RFS GESTION   |
| RH&PARTNER Investment Funds                               | R.I. Vermögensbetreuung AG                         | RINGTURM Kapitalanlagegesellschaft mbH                    |
| Robeco  | Robeco Institutional Asset Management B.V.         | Robeco Luxembourg S.A.                                    |
| Rothschild & Cie Gestion                                  | Rouvier Associés                                   | RREEF Investment GmbH                                     |
| Russell Investments Ireland Limited                       | RWC Funds  | Salus Alpha SICAV   |
| Santander Asset Management Luxembourg S.A.                | Sarasin Fund Management (Luxembourg) S.A.          | Sarasin Investmentfonds AG                                |
| Savills Fund Management GmbH                              | Schoellerbank Invest AG                            | Schroder Investment Management (Luxembourg) S.A.          |
| Schroder Investment Management (Switzerland) AG           | Schroder Unit Trusts Limited                       | Schweizerische Gesellschaft für Kapitalanlagen SGK        |
| SEB Asset Management S.A.                                 | SEB Fund Services S.A.                             | SEB Investment GmbH                                       |
| SEB Investment Management AB                              | Security Kapitalanlage Aktiengesellschaft          | Seilern Investment Management (Ireland) Ltd.              |
| Semper Constantia Immo Invest GmbH                        | Semper Constantia Invest GmbH                      | SG/Russell Asset Management Limited                       |
| SGSS Deutschland Kapitalanlagegesellschaft mbH            | SIA FUNDS AG                                       | Siemens Fonds Invest GmbH                                 |
| SIRIUS FUND   | SKAGEN AS  | SKY Harbor Global Funds                                   |
| Société de Gestion Prévoir                                | Société Générale Gestion                           | Société Générale Securities Services GmbH                 |
| Source Investment Management Limited                      | Spängler IQAM Invest GmbH                          | Sparinvest SICAV  |
| Sparkasse Oberösterreich Kapitalanlagegesellschaft m.b.H. | SPDR Europe PLC                                    | SSgA SPDR ETFs Europe I plc                               |
| SSgA SPDR ETFs Europe II plc                              | St. Vincent Trust Service Limited                  | Standard Life Investments Global SICAV                    |
| Standard Life Investments (Mutual Funds) Limited          | Standard Master Funds plc                          | StarCapital S.A.  |
| State Street Fondsleitung AG                              | State Street Global Advisors                       | State Street Global Advisors France S.A.                  |
| State Street Global Advisors Luxembourg Management Sàrl   | Storm Fund   | Structured Invest S.A.                                    |
| SUPERFUND SICAV   | Swiss & Global Asset Management AG                 | Swiss & Global Asset Management (Luxembourg) S.A.         |
| Swiss Alpha SICAV   | Swiss Life Asset Management (France)               | Swiss Life Funds AG                                       |

## AUFLISTUNG ZU DEN VON UNS ANGEBOTENEN EMITTENTEN/VERSICHERUNGS-GESELLSCHAFTEN UND ANBIETERN

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| Swiss Life Funds (LUX) Management Company        | Swisscanto Asset Management AG                                       | SWISSCANTO ASSET MANAGEMENT INTERNATIONAL S.A.       |
| Swisscanto Fondsleitung AG                       | Sycomore Asset Management, SA  | Syd Fund Management A/S                              |
| Sydivest Administration A/S                      | Syquant Capital  | Systematic iq plc                                    |
| SYZ Asset Management (Luxembourg) S.A.           | T. Rowe Price Funds SICAV  | T. Rowe Price (Luxembourg) Management S.à.r.l.       |
| TDO Management Company S.A.                      | Tendercapital Funds plc  | Thames River Traditional Multi Funds plc             |
| THEAM  | TheFund AG   | Threadneedle Investments                             |
| Threadneedle Management Luxembourg S.A.          | Timberland SICAV   | Tirolinvest Kapitalanlagegesellschaft mbH            |
| TMW Pramerica Property Investment GmbH           | Tocqueville Finance S.A.   | Torrus Funds SICAV                                   |
| Traditional Funds plc                            | Triodos SICAV I  | Trium UCITS Platform plc                             |
| TT International Funds PLC                       | TÜRKISFUND SICAV   | Turner Funds plc                                     |
| Tweedy, Browne Value Funds                       | UBAM CONVERTIBLES SICAV  | UBP Asset Management (Europe) S.A.                   |
| UBS Asset Management (Deutschland) GmbH          | UBS Asset Management France SA                                       | UBS Fund Management (Luxembourg) S.A.                |
| UBS Fund Management (Switzerland) AG             | UBS Global Asset Management (Deutschland) GmbH                       | UBS (Irl) ETF plc                                    |
| UBS (Irl) Investor Selection PLC                 | UBS Real Estate Kapitalanlagegesellschaft mbH                        | UBS Third Party Management Company S.A.              |
| Unicorn Asset Management Limited                 | Union Bancaire Gestion Institutionnelle (France)                     | Union Bancaire Gestion Institutionnelle (France) SAS |
| Union Bancaire Privée (Luxembourg) S.A.          | Union Investment Austria GmbH  | Union Investment Luxembourg S.A.                     |
| Union Investment Privatfonds GmbH                | Union Investment Real Estate AG                                      | Union Investment Real Estate GmbH                    |
| United States Oil Fund LP                        | Universal-Investment GmbH  | Universal-Investment-Luxembourg S.A.                 |
| UTI Indian Fixed Income Fund plc                 | Valartis Asset Management (Austria) Kapitalanlagegesellschaft m.b.H. | ValueInvest Asset Management S.A.                    |
| VanEck Investments Limited                       | Vanguard Group (Ireland) Limited                                     | Vanguard Investment Series plc                       |
| Varenne Capital Partners                         | Vector Asset Management S.A.   | Veritas Investment GmbH                              |
| Vietnam Emerging Equity Fund Limited             | VITRUVIUS  | Volksbank Invest Kapitalanlagegesellschaft m.b.H.    |
| von der Heydt Invest SA                          | Vontobel Asset Management S.A.                                       | Vontobel Fonds Services AG                           |
| Vontobel Management S.A.                         | VP Fund Solutions (Liechtenstein) AG                                 | VPB Finance S.A.                                     |
| VPB Fund Solutions (Luxembourg) SA               | Wallberg Invest S.A.   | Walsler Privatbank Invest S.A.                       |
| Wanger Investment Company Plc, The               | WARBURG INVEST KAPITALANLAGEGESELLSCHAFT MBH                         | WARBURG INVEST LUXEMBOURG S.A.                       |
| Waverton Investment Funds plc                    | Wellington Luxembourg S.à r.l.                                       | Wellington Management Funds (Ireland) plc            |
| Wellington Management Portfolios (Dublin) p.l.c. | Wells Fargo Asset Management Luxembourg S.A.                         | WestInvest Gesellschaft für Investmentfonds mbH      |
| WestLB Mellon Asset Management (Luxembourg) S.A. | WisdomTree Management Limited  | World Gold Trust Services LLC                        |
| W&W Asset Management Dublin Limited              | WWK Investment S.A.  | 1741 Fund Management AG                              |
| 3 Banken-Generali Investment-Gesellschaft mbH    |  |  |

### Banken/Plattformen

|   |                                |  |
|---|--------------------------------|--|
| ADIG Fondsvertrieb GmbH (EBASE)               | Augsburger Aktienbank          | Baader Bank Aktiengesellschaft         |
| bank zweiplus ag                              | BHW Bausparkasse AG            | Capitalbank                            |
| Comdirect                                     | Cominvest                      | Cortal Consors S.A. - BNP Paribas S.A. |
| DAB Bank AG                                   | DKB - Deutsche Kreditbank AG   | Niederlassung Deutschland              |
| ebase   | FFB                            | DWS Fondsplattform Luxemburg           |
| ING-DiBa AG Allgemeine Deutsche Direktbank AG | Investmentgate GmbH & Co.KG    | Fondsdepotbank                         |
| Moventum S.C.A.                               | Prohyp AG                      | Metzler Fund Xchange                   |
| Universal-Investment GmbH (EBASE)             | V-Bank AG                      | Sutorbank                              |
| WARBURG INVEST KAPITALANLAGEGESELLSCHAFT MBH  | Wüstenrot Direktion Keyaccount | von der Heydt Invest SA                |

### Geschlossene Fonds

|   |                            |                               |
|---|----------------------------|-------------------------------|
| abakus Finanz GmbH                                  | Agri Terra                 | Agrofinanz                    |
| AL Deutsche Beteiligung GmbH                        | Aquila Capital             | Aquila Capital Advisors       |
| Astoria Invest                                      | Asuco Vertriebs GmbH       | ATM                           |
| Augsburger Aktienbank                               | Aureus                     | Berlin Atlantic Capital       |
| BIK   | BIT Treuhand AG            | Bosporus Shipyards Asset GmbH |
| Brenneisen Capital AG                               | BUSS Capital GmbH & Co. KG | BVT                           |
| cai Vertriebsgesellschaft mbH                       | CEPP                       | Certus Life Cycle AG          |
| CFB Commerz Real Fonds Beteiligungsgesellschaft mbH | CH2                        | Comdirect                     |
| Commodity Trade                                     | ConRendit Management GmbH  | DEFOMA GmbH                   |
| DEGAG Kapital GmbH                                  | Deutsche Lichtmiete        | Deutschefinance               |
| Dialog Vermögensmanagement GmbH                     | DIREKTINVESTMENT           | Doric Asset Finance GmbH      |
| DR. PETERS  | DSC Deutsche SachCapital   | DSI                           |
| DWS (Beteiligungen)                                 | eFonds24 GmbH              | Energy Capital invest         |
| Engel & Völkers                                     | Euram Verwaltungs GmbH     | EWR                           |

## AUFLISTUNG ZU DEN VON UNS ANGEBOTENEN EMITTENTEN/VERSICHERUNGS- GESELLSCHAFTEN UND ANBIETERN

|  |  |                                       |
|--|--|---------------------------------------|
| FGT                                    | Fidentum GmbH                            | Flexfonds                             |
| fonds id                               | Forest Finance                           | Fröschl                               |
| FUNDUS                                 | Fußball Kontor                           | G- Deutsche Gold                      |
| GEBAB                                  | Grasshopper Investments                  | Green Wood                            |
| GSI                                    | Habona Invest GmbH                       | HAHN FONDS GMBH                       |
| Hamburg Trust                          | Hamburgische Energiehandlung GmbH        | Hamburgsche Seehandlung               |
| Hammer AG                              | Hannover Grund                           | HANNOVER Leasing GmbH & Co. KG        |
| Hanse Capital Emissionshaus GmbH       | Hausverwaltung Mauz                      | HCI GmbH                              |
| HEP Kapital GmbH                       | H.F.S. GmbH                              | HHCP Hamburg Capital Partners AG      |
| HMW                                    | HTB Hanseatische Schiffsfonds GmbH       | IC Consulting AG                      |
| IdealWater                             | ILG Fonds GmbH                           | IMMAC Holding AG                      |
| IMMOVATION                             | INNCOME                                  | INP                                   |
| Internet Stars                         | ISI                                      | i4r Bioenergiepark Hof                |
| Jäderberg & Cie                        | Leonidas Associates GmbH                 | LHI                                   |
| Luana Capital                          | LUME                                     | Magellan Maritime Services GmbH       |
| Marble House Capital                   | MC Woodsources                           | MCE Schiffskapital                    |
| MET Immobilien                         | Mhc                                      | MIDAS Mittelstandsfonds GmbH & Co. KG |
| my green invest                        | Neitzel & Cie.                           | NGF Vertrieb und Service AG           |
| NMI                                    | Nordcapital Emissionshaus GmbH & Cie. KG | Oak Capital                           |
| One Capital                            | PARIBUS CAPITAL                          | PATRIZIA                              |
| PI Pro-Investor GmbH & Co. KG          | Piamo Invest                             | Pretagus                              |
| Primusvalor                            | PROIMMO                                  | Project Vermittlungs GmbH             |
| Protarius Capital GmbH                 | publity Investor                         | QM3                                   |
| Real Invest                            | Real I.S. AG                             | reconcept Beteiligungs GmbH           |
| reskap                                 | Robinia Invest                           | RWB GmbH                              |
| Salamon AG                             | Schroeder & Co. KG                       | SDI                                   |
| SHB                                    | Shedlin Austria                          | SHEDLIN Vertriebservice GmbH          |
| SLC                                    | SOLIT Kapital GmbH                       | Solvium Capital GmbH                  |
| Sontowskipartner                       | Steiner + Company GmbH & Co. KG          | SVF AG                                |
| Swiss Storage                          | TerraMetal                               | THAMM & PARTNER GmbH                  |
| ThomasLloyd                            | Timberland                               | TSO                                   |
| ubg Unternehmensgruppe                 | UNO Verwaltungs GmbH                     | Vegareederei                          |
| Ventafonds                             | Wald:Energie                             | Wattner                               |
| Wealth Management Capital Holding GmbH | WeGrow GmbH                              | White Owl Capital AG                  |
| Wirtschaftshaus AG                     | ZBI                                      | 7x7finanz                             |

### Sonstige Vermögenswerte

Consilium Erneuerbare Energien eG